



12th Floor, Elephant House, 214 broad street, Marina Lagos

## ACCOUNT OPENING FORM

### INDIVIDUAL/JOINT ACCOUNTS

#### SECTION A

##### CLIENT PERSONAL INFORMATION

All information is treated as confidential and may be required by the Securities & Exchange Commission and/or the Nigerian Stock Exchange

Account Type		<input type="checkbox"/>	Individual	<input type="checkbox"/>	Joint					Date (DD / MM / YYYY)						
Sex:	<input type="checkbox"/>	Male	<input type="checkbox"/>	Female	Title	<input type="checkbox"/>	Mr.	<input type="checkbox"/>	Mrs.	<input type="checkbox"/>	Miss	<input type="checkbox"/>	Dr	<input type="checkbox"/>	Others	
Surname:					Other Names:							Maiden Name*: (Where applicable)				
ID TYPE:	<input type="checkbox"/>	International Passport		<input type="checkbox"/>	Driver's License		<input type="checkbox"/>	National ID		Others (please specify)						
Expiry Date				ID Number					Date of Birth:							
Phone Number: *				Nationality					State of Origin/LGA							
Postal Address*:																
Residential Address*:																
Email Address* (Fill in Capitals):																
TAX Number*:																
Affix Passport Photograph																

#### SECTION B

##### EMPLOYMENT STATUS AND FINANCIAL POSITION

Employment Status (Tick as Appropriate):

Employed  Self-Employed  Student  Retired  Not Working

Employer's Name			
Employer's Address			
Nature of Business /Address			

Total Estimated annual income in Naira (Tick as Appropriate)

Less than N500,000  Between N500,000 & N1M  Between N1M & N5M  More than N5M

#### SECTION C

##### NEXT OF KIN DETAILS

Name:					
Date of Birth	<input type="text"/>	Nationality	<input type="text"/>	Gender M/F	<input type="text"/>
Relationship	<input type="text"/>		Telephone Number	<input type="text"/>	
Contact Address for Next of Kin			<input type="text"/>		
Email	<input type="text"/>				

**SECTION D****FOR JOINT ACCOUNT HOLDERS**

Name of Joint Account Partner							
Relationship with of Join Account Partner							
ID TYPE:	International Passport		Driver's License		National ID	Others (please specify)	
Expiry Date		ID Number			Date of Birth:		
Phone Number: *			Alternate Phone Number*:		State of Origin/LGA		
Postal Address*:							
Residential Address*:							
Email Address* (Fill in Capitals):							
Mandate/Signing Instruction*							
Affix Passport Photograph							

**EMPLOYMENT STATUS AND FINANCIAL POSITION****Employment Status (Tick as Appropriate):**

Employed  Self-Employed  Student  Retired  Not Working

Employer's Name	
Employer's Address	
Nature of Business /Address	

**Total Estimated annual income in Naira (Tick as Appropriate)**

Less than N500,000  Between N500,000 & N1M  Between N1M & N5M  More than N5M

**SECTION E****BANK DETAILS**

Bank Name	<input type="text"/>
Account Name	<input type="text"/>
Account No.	<input type="text"/>
BVN	<input type="text"/>
Bank Branch	<input type="text"/>
Bank Address	<input type="text"/>

**SECTION F****SALES PROCEED MODE OF PAYMENT**

CSCS Direct Settlement

In-House Settlement

CSCS Number		CH Number	
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## SECTION G

### MODE OF COMMUNICATION

Phone Call	<input type="checkbox"/>
Text-Message	<input type="checkbox"/>
E-mail	<input type="checkbox"/>

## SECTION H

### REQUIREMENTS

- **Completed KYC**
- Copy of a valid ID card (Driver's License, Voter's card, Int'l passport, and National ID card)
- Proof of Address includes but is not limited to (PHCN Bill, LAWMA Bill, Land Use Charge, Lease of Agreement).

## SECTION I

### DECLARATION (PLEASE READ CAREFULLY BEFORE SIGNING)

- I agree that in compliance with the anti-money laundry legislation, transaction above N1million and N5million for individual and corporate organizations respectively will be reported to the Securities and Exchange Commission (SEC) or the Central Bank of Nigeria (CBN)
- I confirm that the information given on this form is true
- That accounts are to be adequately funded and debit balance, if any, must be cleared within 3 days of its occurrence.
- That debit balance not cleared within 3days will attract interest at two and half times over the treasury bill rate prevailing at the beginning of the month in question or 22% whichever is higher and
- That the company reserves the right to sell from the portfolio of the defaulter to clear the debit balance and the accrued interest if the balance is not cleared after 14 days.
- I.....hereby declare that I understand the conditions stated above and agree to abide by the conditions
- I hereby certify that all the information given above is correct. I also certify that I have read, understand, and agree with the attached terms and conditions governing the accounts/products I have requested, as well as an assessment of your services via the channels we have selected.

### PRIVACY NOTICE

According to the Nigerian Data Protection Regulation 2019, which guides all entities that collect, process, transmit and store data of natural persons to put in place policies and procedures that safeguard the rights of natural persons to data privacy, foster safe conduct of transactions involving the exchange of personal data and prevent manipulation of their data, Anchoria Investment and Securities Limited (from now called Anchoria) has responded per this regulation.

The following highlights our business requirements for collecting your personal data or information.

- To comply with applicable laws and regulations and to operate our business.
- To respond to your inquiries about the Anchoria. To improve our products and services.
- To improve customer service delivery.
- To send promotional emails about new products, exclusive offers, or other information that we think you may find helpful using the email address you have provided.
- To contact you occasionally for market research purposes. We may contact you by email, phone, fax, or mail.
- To deliver technical and functional management on our site.
- To provide services following the agreement you are entering or have entered with us.

We only collect the information you give us, and by patronizing us, you have consented to the collection, processing, transmitting and storage of your data.

We only require the minimum amount of personal information necessary to fulfill the purpose of your interaction with us; we do not sell it to third parties, and we only use it as the privacy policy describes. Please visit our website for the detailed privacy policy.

### INDEMNITY MANDATE

This Indemnity Mandate grants Anchoria Investment and Securities Limited ("AISL" or "the Company") the authority to either receive an instruction letter/mandate form with verified signature with AISL, text message or email mandate (only from registered and verified phone number or email address with AISL) to purchase or sell stocks on your behalf at the best market prices unless otherwise stipulated. This is necessary to meet the regulator's requirement for all mandates to be signed by the client.

N.B: In cases where the text message mode of mandate is sent, a sales representative/relationship manager would reach out to you for the regularization of documents. AISL reserves the right for whatever reason to act or not act upon instructions received via text messages without regularization of mandate form/instruction letter from the client.

I/We indemnify and hold Anchoria Investment and Securities Limited harmless against all and any risks, proceedings, suits, costs, damages and claims, expenses, legal fees, liabilities and losses which Anchoria Investment and Securities Limited may become exposed to, suffer from, subjected to, incurred or made against, whether arising out of or in connection with the sales or purchase of stocks which could arise as a result of, but not limited to fluctuations in market price, internet or mobile network transmission, mutilated, duplicated, illegible, and altered letter/mandate form, provision of incorrect bank details, delay in remittance of sales proceedings for any reason, late receipt of mandates, errors from reliance on the information provided in this account opening document and signature specimen, and other events of force majeure, such as acts of God and other unforeseen natural events such as, but not limited to fire, flood, war, riot, urban unrest, terrorism, epidemic, and pandemic on my/our behalf.

Please tick as appropriate

Walk-in only:

Text Message:

Email:

Client Name (Individual):

Customer's signature\*

Customer's signature\*

Customer's signature\*

